Message Text

PAGE 01 NATO 03401 01 OF 03 241456Z

46

ACTION EUR-12

INFO OCT-01 IO-10 ISO-00 EURE-00 SSO-00 NSCE-00 USIE-00

INRE-00 CU-02 BIB-01 CIAE-00 PM-03 INR-07 L-03

ACDA-05 NSAE-00 PA-01 PRS-01 SP-02 TRSE-00 SAJ-01

OIC-02 SS-15 NSC-05 DODE-00 MMS-01 EB-07 OMB-01 /080 W

O P 241400Z JUN 75 FM USMISSION NATO TO SECSTATE WASHDC IMMEDIATE 2413 INFO USMISSION GENEVA PRIORITY

CONFIDENTIAL SECTION 1 OF 3 USNATO 3401

GENEVA FOR USDEL CSCE

E.O. 11652: GDS

TAGS: PFOR NATO CSCE

SUBJECT: CSCE: PUBLIC OPINION

REF: A. USNATO 3377 B. STATE 147825

- 1. SPCS WORKING GROUP ON PUBLIC RELATIONS ASPECTS OF CSCE BEGAN EXAMINATION JUNE 23 OF CANADIAN TEXT WHICH WILL FORM ANNEX TO MERGER PAPER. CANADIAN TEXT IS BASED ON SECOND PART OF A MAY 5 CANADIAN PAPER ON CSCE WHICH MISSION POUCHED TO DEPARTMENT (EUR/RPM FROWICK) AND GENEVA (MARESCA).
- 2. WORKING GROUP POLISHED CANADIAN TEXT, WHICH IS TRANSMITTED BELOW. ONLY PROBLEM THAT EMERGED WAS FRENCH REPS STATEMENT THAT HIS AUTHORITIES HAD NOT YET INSTRUCTED HIM WHETHER HE COULD SUPPORT CANADIAN TEXT AS A NATO DOCUMENT OR WHETHER PARIS WOULD INSIST TEXT BE LABELED A CANADIAN NATIONAL CONTRIBUTION
- 3. COMMENT: TEXT APPEARS TO US A USEFUL REFERENCE DOCUMENT. CONFIDENTIAL

PAGE 02 NATO 03401 01 OF 03 241456Z

IT WILL BE CONSIDERED AGAIN BY THE WRKING GROUP ON JUNE 26
AND WILL PROBABLY UNDERGO FURTHER CHANGES RESULTING FROM SUGGESTIONS FROM CAPITALS IN LIGHT OF FINAL CSCE TEXTS. BECAUSE
OF LACK OF TIME, ANNEX WILL PROBABLY NOT BE PRESENTED TO NAC

JUNE 27 WHEN COUCNIL WILL CONSIDER MERGER PAPER.

4. ACTION: UNLESS INSTRUCTED TO THE CONTRARY, WE PLAN TO INFORM WORKING GROUP ON JUNE 26 THAT US HAS NO OBJECTIONS TO CANADIAN TEXT AS ANNEX TO MERGER PAPER. IF JUNE 26 DISCUSSION RESULTS IN SIGNIFI ANT CHANGES IN CANADIAN TEXT, MISSION WILL REQUEST FURTHER GUIDANCE.

BEGIN TEXT:

FIRST BASKEDT: PRINCIPLES

- 1. IT IS QUITE PROBABLE THAT THE SOVIETS AND THEIR FRIENDS MIGHT HAVE INTENDED IN THE BEGINNING TO USE CSCE TO CREATE THE IMPRESSION OF HAVING ESTABLISHED A SPECIAL EUROPEAN BODY OF INTERNATIONAL LAW ADAPTED TO THEIR PURPOSE IN EASTERN EUROPE. CONTRARY TO THE THESIS OF THE EASTERN COUNTRIES, THE MEMBERS OF THE ALLIANCE HAVE ALWAYS REJECTED THE IDEA THAT THE DOCUMENT DRAFTED UNDER THE TITLE DECLARATION SHOULD HAVE A PREEMINENT CHARACTER AS COMPARED TO THE OTHER RESULTS OF THE CONFERENCE. THE DECLARATION OF PRINCIPLES MAKES IT CLEAR THAT THE PARTICIPATING STATES ARE MERELY REAFFIRMING PRINCIPLES TO WHICH THEY HAVE ALREADY SUBSCRIBED IN THE UN CHARTER. EVEN THE USSRS CHERISHED PRINCIPLE OF INVIOLABILITY OF FRONTIERS IS REALLY ONLY A SPECIFIC DEDUCTION FROM THE TIME HONORED GENERAL PRINCIPLE ON NON USE OF FORCE AND IS THEREFORE GENERALLY APPLICABLE.
- 2. IT WAS NOT ONLY POSSIBLE TO PREVENT THE USSR FROM OBTAINING WESTERN BLESSING OF THE STATUS QUO IN EUROPE, BUT TO SECURE APPROVAL OF TEXTS WHICH, IN MANY RESPECTS, PLACE THE USSR ON THE DEFENSIVE. WHEN INTERPRETED HISTORICALLY, AND IN A EUROPEAN CONTEXT, THE PRINCIPLE ON HUMAN REIGHTS AND THERRINCIPLE ON SELF DETERMINATION COULD CONFRONT THE USSR WITH AWKWARD QUESTIONS. MOREOVER, THE DECLARATION OF PRINCIPLES MAKES IT CLEAR THAT THEY ARE NOT TO GUIDE RELATIONS JUST BETWEEN COUNTIRES BELONGING TO DIFFERENT BLOCS, BUT BETWEEN ALL PARTICIPATING STATES. THUS, CONFIDENTIAL

PAGE 03 NATO 03401 01 OF 03 241456Z

THE USSR HAS AN OBLIGATION TO RESPECT THE SAME PRINCIPLES IN ITS RELATIONS WITH ITS ALLIES.

FIRST BASKET: CBMS

3. CERTAIN MODEST " CONFIDENC E BUILDING MEASURES" WILL BE AGREED, NOTABLY ADVANCE NOTIFICATION OF MAJOR MILITARY MANEUVERS. WHILE THESE MEASURES LIE WITHIN THE MILITARY FIELD AND ARE EXPRESSED IN MILITARY TERMS, THEY ARE IN FACT POLITICAL IN NATURE; THEY DO NOT IN ANY WAY CURTAIL MILITARY ACTIVITIES OR ADD ANYTHING TO MILITARY INTELLIGENCE. THEY COULD CONTRIBUTE TO GREATER POLITICAL UNDERSTANDING. THE WEST HAD HOPED AT THE OUTSET OF THE CONFERENCE TO HAVE MUCH MORE SUBSTANTIAL RESULTS IN THIS AREA THAN

WILL PROVE POSSIBLE, BUT THE FACT THAT ALL STATES WILL HENCE-FORTH BE CALLED UPON TO MAKE SOME CONTRIBUITION TO INCREASING MUTAL CONFIDENCE IS IN ITSELF A WORTHWHILE IF LIMITED STEP IN THE PROCESS OF FURTHERING DETENTE. IT IS TO BE HOPED THAT IN FUTURE YEARS FURTHER STPES IN THIS DIRECTION WILL BE POSSIBLE.

BASKET II: GENERAL

4. ECONOMIC ASPECTS OF THE CONFERENCE HAVE PRESENTED SOME PROBLEMS BECAUSE MANY OF THE SUBJECTS REAISED ARE ALSO BEING DEALT WITH IN OTHER MULTILATERAL FORA SUCH AS GATT. SOME IMPORTANT ADVANCES HAVE, HOWEVER, BEEN MADE FOR EXAMPLE IN PROVISIONS LOOKING TO THE FACILITATING OF CONTACTS AND THE FLOW OF INFORMATIONS WHICH REFLECT A GENERAL WESTERN INTEREST IN BREAKING DOWN BARRIERS. THIS PROCESS MAY BE EASIER IN AREAS OF ECONOMIC ACTIVITY WHERE THERE ARE PROBABLY FEWER POLITICAL SENSITIVITIES TO AROUSE THAN, SAY, UNDER THE HEADING OF HUMAN CONTACTS AND EXCHANGE OF INFORMATION.

CONFIDENTIAL

PAGE 01 NATO 03401 02 OF 03 241551Z

41

ACTION EUR-12

INFO OCT-01 IO-10 ISO-00 EURE-00 SSO-00 NSCE-00 USIE-00

INRE-00 CU-02 BIB-01 CIAE-00 PM-03 INR-07 L-03

ACDA-05 NSAE-00 PA-01 PRS-01 SP-02 TRSE-00 SAJ-01

OIC-02 SS-15 NSC-05 DODE-00 MMS-01 EB-07 OMB-01

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O P 241400Z JUN 75 FM USMISSION NATO TO SECSTATE WASHDC IMMEDIATE 2414 INFO USMISSION GENEVA PRIORITY

CONFIDENTIAL SECTION 2 OF 3 USNATO 3401

BASKET II: COMERCIAL EXCHANGES

5. IT IS HPED THAT THIS TEXT WILL BRING ABOUT IMPROVEMENTS IN THE AREA OF BUSINESS CONTACTS, FACILITIES AND EXCHANGE OF INFORMATION. ONE OF THE DIFFICULTIES WESTERN FIRMS WISHING TO CONDUCT BUSINESS IN EASTERN EUROPE AND PARTICULARLY IN THE USSR HAVE ENCOUNTERED HAS BEEN THE PROBLEM OF ESTABLISHING APPROPRIATE BUSINESS CONTACTS WHEN TRYING TO MARKET PRODUCTS

FOR THE FIRST TIME, SINCE IN ALL CASES IT IS NECESSARY TO DEAL WITH STATE TRADING AGENCIES. OF PARTICULAR INTEREST FROM THIS POINT OF VIEW ARE THE TEXTUAL REFERENCES INVOLVING UNDERTAKINGS ON THE PART OF PARTICIPATING STATES TO IMPROVE CONDITIONS FOR EXPANDED CONTACTS BETWEEN REPRESENTATIVES OF ENTERPRISES, FIRMS AND BANKS CONCERNED WITH FOREIGN TRADE, AND ALSO BETWEEN "SELLERS AND USERS OF PRODUCTS AND SERVICES". THIS LATTER REFERNCE REPRESENTS ANUNDERTAKING TO PUT THOSE FIRMS WHICH ARE MRKETING PRODUCTS AND SERVICES IN CONTACT WITH USERS OF SUCH PRODUCTS AND SERVICES. SUCH CONTACTS, ACCORDING TO THE TEXT, ARE FOR THE PURPOSE OF "EXAMINING COMMERCIAL POSSIBILITIES, CONCLUDING CONTRACTS, ENSURING THEIR CONFIDENTIAL

PAGE 02 NATO 03401 02 OF 03 241551Z

IMPLEMENTATIONA DN PROVIDING AFTER SALES SERVICE".

- 6. IN ADDITION TO "CONTACT" PROBLEMS ENCOUNTERED IN DOING BUSINESS IN EASTERN EUROPE, WESTERN FIRMS HAVE OFTEN HAD DIFFICULTY IN "LIFE SUPPORT SYSTEMS" NEEDED TO SUSTAIN THEIR OPERATIONS- I.E., ACCOMMODATION, COMMUNICATION FACILITIES, LEGAL INFORMATION RELATED TO THE ESTABLISHMENT OF PERMANENT OFFICES ETC. THE TEXTS CONTAINS PROVISIONS SEEKING SOME IMPROVEMENTS IN WORKING CONDITIONS OF REPRESENTATIVES OF FOREIGN ORGANIZATIONS, ENTERPRISES, FIRMS AND BANKS IN THESE IMPORTANT AREAS.
- 7. THE QUESTION OF DOUBLE TAXATION, TRANSFER OF PROFITS AND INVESTMENT RETURN IS ALSO COVERED IN A TEXT WHICH CALLS FOR FAVORABLE CONSIDERATION BEING GIVEN TO CONCLUSION OF BILATERAL AGREEMENTS AIMED AT ARRANGEMENTS IN THESE AREAS.

BASKET II: BUSINESS INFO

8. SEVERAL TEXTS IN THE AREA OF BUSINES INFORMATION CONTAIN UNDERTAKINGS FOR THE PUBLICATION OF STATISTICAL DATA IN ALL FIELDS. IN THE PAST, SUCH PUBLICATIONS, PARTICULARLY IN ECONOMIC AREAS BELOW MACRO- LEVEL, HAS BEEN RETARDED IN EASTERN EUROPEAN COUNTRIES. IN RELATION TO THE PROVISION OF BUSINESS INFORMATION, THE TEXTS IN QUESTION UNDERTAKE TO PUBLISH AND DISSEMINATE ECONOMIC AND COMMERICIAL INFO " AT REGULAR INTERVALS AND AS OUICKLY AS POSSIBLE". PARTICULARLY: STATISTICS CONCERNING PRODUCTION, NATIONAL INCOME, BUDGET CONCESSIONS AND PRODUCTIVITY: FOREIGN TRADE STATISTICS INCLUDING THE BREAKDOWN BY PRODUCT WITH AN INDICATION OF THE VOLUME AND VALUE AS WELL AS THE COUNTRY OF ORIGIN OR DESTINATION; LAWS AND REGULATIONS CONCERNING FOREIGN TRADE; INFORMATION ALLOWING FORECASTS OF THE DEVELOPMENT OF THE ECONOMY TO ASSIST IN TRADE PROMOTION, FOR EXAMPLE INFORMATION ON THE GENERAL ORIGIN OF NATIONAL ECONOMIC PLANS AND PROGRAMS; OTHER INFORMATION TO HELP BUSINESS MEN IN COMMERCIAL CONTACTS, E.G., PERIODIC DIRECTORIES, LISTS AND WHERE POSSIBLE, ORGANIZATIONAL CHARTS OF FORMS AND ORGANIZATIONS CONCERNED WITH FOREIGN TRADE.

BASKET II: SETTLEMENT OF COMMERCIAL DISPUTES

9. THIS TEXT, INTER ALIA, RECOMMENDS THE INCLUSION OF ARBITRATION CONFIDENTIAL.

PAGE 03 NATO 03401 02 OF 03 241551Z

CLAUSES IN COMMERCIAL CONTRACTS, INDUSTRIAL COOPERATION CONTRACTS OR ANY SPECIAL AGREEMENT, AND THAT THE PROVISIONS SHOULD COVER ARBITRATION UNDER A MUTUALLY ACCEPTABLE SET OF RULES AND PERMIT ARBITRATION IN A THIRD COUNTRY.

BASKET II: INDUSTRIAL COOPERATION

10. THE OPENING TEXT OF THE INDUSTRIAL CCOPERATION PAPER

ACKNOWLEDGES THAT INDUSTRIAL COOPERATION IS "MOTIVATED BY

ECONOMIC CONSIDERATIONS". THIS HAS BEEN INCLUDED IN THE

TEXTS AT WESTERN INSISTENCE IN ORDER TO AVOID THE IMPLICATION

THAT INDUSTRIAL COOPERATION PROJECTS SHOULD BE DEVELOPED FOR

POLITICAL, RATHER THAN ECONOMIC, ENDS.

11. THERE ARE CERTAIN PARALLELS BETWEEN THE TEXTS IN THE AREA OF INDUSTRIAL COOPERATION AND THOSE IN THE COMMERCIAL INFORMATION AND BUSINESS CONTACTS AREA; ITIS THUS IN THE ACCESS TO INFORMATION PROVISIONS THAT THE INDUSTRIAL COOPERATION TEXTS ARE PARTICULARLY IMPORTANT. FOR EXAMPLE, THERE IS AN UNDERTAKING TO IMPROVE THE QUALITY AND QUANTITY OF INFRMATION RELEVANT TO INDUSTRIAL COOPERATION IN A NUMBER OF AREAS INCLUDING THOSE RELATING TO FOREIGN EXHANGE, PROGRAM PRIORITTIES, ECONOMIC CONDITIONS OF THE MARKET, AS WELL AS THE ENCOURAGEMENT OF THE EXCHANGE OF INFORMATION "THROUGH CONTACTS BETWEEN POTENTIAL PARTNERS". THE TEXTS CONTAIN FURTHER UNDERTAKINGS IN THE AREA OF INDUSTRIAL COOPERATION BY WAY OF FACILITATING AND INCREASING ALL FORMS OF BUSINESS CONTACTS (AIMD AT INDUSTRIAL COOPERATION PROJECTS) BETWEEN " COMPETENT ORGANIZATIONS, ENTERPRISES AND FIRMS AND BETWEEN THEIR RESPECITVE QUALIFIED PERSONNEL".

BASKET II: ENVIRONMENT

12. WE WOULD WISH TO STRESS THE DEVELOPMENT OF COOPERATION IN THE FIELD OF THE ENVIRONMENT BY "PROMOTING THE PROGRESSIVE DEVELOPMENT, CODIFICATION AND IMPLEMENTATION OF INTERNATION LAW...." THE INTERNATIONAL LAW PROVISION CARRIES OVER AT LEAST SOME OF THE SUBSTANCE OF PRINCIPLES 21 AND 22 OF THE STOCKHOLM DECLARATION TO CSCE AND COMMITS THE USSR AND OTHER EASTERN EUROPEAN STATES NOT PRESENT AT STOCKHOLM TO THOSE PRINCIPLES AND IN GENERAL TO THE NETWORK OF ENVIRONMENTAL COOPERATION DEVELOPED THERE.

PAGE 04 NATO 03401 02 OF 03 241551Z

CONFIDENTIAL

PAGE 01 NATO 03401 03 OF 03 241613Z

40

ACTION EUR-12

INFO OCT-01 IO-10 ISO-00 EURE-00 SSO-00 NSCE-00 USIE-00

INRE-00 CU-02 BIB-01 CIAE-00 PM-03 INR-07 L-03

ACDA-05 NSAE-00 PA-01 PRS-01 SP-02 TRSE-00 SAJ-01

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/091 W ----- 027955

O P 241400Z JUN 75 FM USMISSION NATO TO SECSTATE WASHDC IMMEDIATE 2415 INFO USMISSION GENEVA PRIORITY

CONFIDENTIAL SECTION 3 OF 3 USNATO 3401

THIRD BASKET: HUMAN CONTACTS AND INFORMATION 13. THE CLOSER CONTACT FAVORED BY THE WEST REFERRED ESSENTIALLY TO THE MOVEMENT OF IDEAS AND PEOPLE WHICH WOULD NOT BE EXCLUSIVELY THE RESPONSIBILITY OF GOVERNMENT AGENCIES, BUT WHICH WOULD CORRESPOND TO INDIVIDUAL INITIATIVE. THE TEXTS THAT HAVE EMERGED IN THE TWO AREAS OF HUMAN CONTACTS AND INFOR-MATION ARE OBVIOUSLY LESS AMBITIOUS AND MORE QUALIFIES THAN WE WISHED ORIGINALLY. HOWEVER, AGREEMENT ON THEM IS NONE-THELESS SIGNIFICANT, SINCE IT IS THE FIRST OCCASION WHEN SUCH MATTERS HAVE BEEN DISCUSSED MULTILATERALLY. THE FACT THAT A SUBSTANTIAL PROPORTION OF THE CSCE FINAL DOCUMENT RELATES TO THESE MATTERS SERVES TO UNDERLINE THEIR PRIMARY IMPORTANCE. THESE TEXTS WILL HOPEFULLY ALSO STRENGTHEN THE HAND OF OUR CONSULAR OFFICIALS WHO ARE TRYING TO IMPROVE COOPERATION WITH THE AUTHORITIES IN INDIVIDUAL EASTERN COUNTRIES IN THESE MATTERS

14. THE TEXTS SHOULD ALSO BE USEFUL IN ESTABLISHING THAT THESE MATTERS SHOULD BE DEALT WITH IN A POSITIVE SPIRIT AND CONFIDENTIAL

PAGE 02 NATO 03401 03 OF 03 241613Z

IN URGING MODIFICATION AND IMPREVEMENT OF EXISTING PRACTICES ON THE PART OF EASTERN EUROPEAN OFFICIALDOM. THUS, THE FAMILY REUNIFICATON TEXT BEGINS WITH THE WORKS "PARTICIPATING STATES WILL DEAL IN A POSITIVE AND HUMANITARIAN SPIRIT WITH APPLICATIONS". THE AMOUNT OF DETAIL WHICH FOLLOWS IN EACH CASE GIVES THE TEXTS A SUBSTANTIAL CHARACTER THAT REINFORCES

THEIR MORAL AND POLITICAL FORCES AND CLEARLY IMPLES
THAT STATES ARE EXPECTED TO TAKE CONCRETE STEPS TOWARD THE
REALIZATION OF GENERAL GOAL: I E REUNIFICATION OF FAMILIES
OR FACILITATING MARRIAGE BETWEEN PARTNERS OF DIFFERENT
NATIONALITIES.

THIRD BASKET: EDUCATION AND CULTURE 15. THE FINAL TEXTS ON CULTURE AND EDUCATION CONTAIN A NUMBER OF PROVISIONS POTENTIALLY USEFUL IN THE DEVELOPMENT OF COOPERATION AND CONTACTS AND EXCHANGES IN THOSE TWO FIELDS. OF PARTICULAR INTEREST TO THE WEST, IN THAT CONTEXT, AND WORTHY ACCORDINGLY OF SOME ATTENTION IN THE PRESENTATION OF CSCE RESULTS, ARE THE PROVISIONS FOR DIRECT CONTACTS BETWEEN PERSONS (AND BETWEEN INSTITUTIONS) AS WELL AS MORE GENERALLY THE BROADER DECLARATIONS OF INTENT RELATING TO ACCESS TO, AND DISSEMINATION OF, INFORMATION IN THOSE TWO FIELDS. THUS, UNDER CULTURAL KNOWLEDGE, THE PARTICIPATING STATES WILL HAVE DECLARED THEIR INTENTION TO "ADOPT APPRO-PRIATE MEASURES WHICH WOULD GIVE THEIR PEOPLES A MORE COM-PREHENSIVE AND COMPLETE MUTUAL KNOWLEDGE OF THEIR ACHEIVEMENTS IN THE VARIOUS FIELDS OF CULTURE". SIMILARLY, UNDER ACCESS, THE PARTICIPATING STATES WILL HAVE STATED THEIR INTENTION TO "PROMOTE FULLER MUTUAL ACCESS BY ALL TO THE ACHIEVEMENT-IN WORKS, EXPERIENCES AND PERFORMING ARTS- IN THE VARIOUS FIELDS OF CULTURE".

16. REGARDING EDUCATION, SIMILAR STATEMENTS OF INTENTION CAN BE FOUND. WITHOUT MINIMIZING THE IMPORTANCE OF MORE SPECIFIC PROVISIONS WHICH SET OUT SOME OF THE MEANS BY WHICH THESE DECLARED INTENTIONS ARE TO BE IMPLEMENTED, THESE BROADER COMMITMENTS REFLECTING THE MAIN AIMS OF BASKET III REPRESENT WHAT MAY BE (IF ONLY FOR THE PURPOSES OF PRESENTATION) THE MORE CONSPICUOUS WESTERN ACHIEVEMENTS.

17. ALSO OF INTEREST TO THE WEST, AS ALREADY MENTIONED, ARE CONFIDENTIAL $\,$

PAGE 03 NATO 03401 03 OF 03 241613Z

PROVISIONS RELATING TO PERSONAL CONTACTS. WHILE, THE SECTION ON CONTACTS IN CULTURE IS STILL UNDER NEGOTIATION, THE TEXTS ON CULTURE AND ON EDUCATION INCLUDE A NUMBER OF OTHER VALUABLE REFERENCES ON THAT SUBJECT. THUS, ON CULTURE, THE PARTICIPATING STATES WILL HAVE COMMITTED THEMSELVES TO "ENCOURAGING DIRECT CONTACTS AND COMMUNICATIONS AMONG PERSONS IN CULTURAL ACTIVITIES"; AS ON EDUCATION TO "ENCOURAGING AMONG PERSONS ENGAGED IN EDUCATION AND SCIENCE DIRECT CONTACTS AND COMMUNICATIONS".

18. THESE, THEN, ARE SOME OF THE MORE SIGNIFICANT PROVISIONS THAT WILL FORM A BASIS FOR INCREASED COOPERATION WITH AND ON THE PART OF THE EAST IN THE FIELDS OF CULTURE AND EDUCATION. IN THE CONTEXT OF OUR POLICIES WITH THE EAST, THESE TEXTS SHOULD BE A USEFUL INSTRUMENT IN THE DEVELOPMENT OF WIDER

RELATIONS	WITH EAST	ERN COU	NTRIES ON	THE BROA	D RANGE OF
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Message Attributes

Automatic Decaptioning: X Capture Date: 18 AUG 1999 Channel Indicators: n/a

Current Classification: UNCLASSIFIED

Concepts: n/a Control Number: n/a Copy: SINGLE Draft Date: 24 JUN 1975 Decaption Date: 01 JAN 1960 Decaption Note: Disposition Action: RELEASED Disposition Action: RELEASED
Disposition Approved on Date:
Disposition Authority: CunninFX
Disposition Case Number: n/a
Disposition Comment: 25 YEAR REVIEW
Disposition Date: 28 MAY 2004
Disposition Event:
Disposition History: n/a
Disposition Reason:
Disposition Remarks:
Document Number: 1975NATO03401

Document Number: 1975NATO03401
Document Source: ADS
Document Unique ID: 00

Drafter: n/a

Enclosure: n/a Executive Order: 11652 GDS

Errors: n/a Film Number: n/a From: NATO

Handling Restrictions: n/a

Image Path:

Legacy Key: link1975/newtext/t19750698/abbrzkrl.tel Line Count: 383

Locator: TEXT ON-LINE

Office: n/a

Original Classification: CONFIDENTIAL Original Handling Restrictions: n/a
Original Previous Classification: n/a Original Previous Handling Restrictions: n/a

Page Count: 7

Previous Channel Indicators:

Previous Classification: CONFIDENTIAL Previous Handling Restrictions: n/a Reference: A. USNATO 3377 B. STATE 147825 Review Action: RELEASED, APPROVED Review Authority: CunninFX

Review Comment: n/a Review Content Flags: Review Date: 30 APR 2003

Review Event:

Review Exemptions: n/a
Review History: RELEASED <30 APR 2003 by ElyME>; APPROVED <25 SEP 2003 by CunninFX>

Review Markings:

Margaret P. Grafeld Declassified/Released US Department of State EO Systematic Review 06 JÚL 2006

Review Media Identifier: Review Referrals: n/a Review Release Date: n/a Review Release Event: n/a **Review Transfer Date:** Review Withdrawn Fields: n/a

Secure: OPEN Status: NATIVE

Subject: CSCE: PUBLIC OPINION TAGS: PFOR NATO CSCE
To: STATE INFO GENEVA

Markings: Margaret P. Grafeld Declassified/Released US Department of State EO Systematic Review 06 JUL 2006